

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Johannes WEIERMAIR et al.

Date: August 29, 2007

Serial No.: 10/573,605

Group Art Unit: 3676

Filed: April 26, 2006

Examiner: ---

For: ROLLING MILL DRIVE WITH A COUPLING AND DECOUPLING DEVICE

---

**VIA EFS-WEB**

Commissioner for Patents  
Office of Initial Patent Examination's  
Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

Please issue a corrected filing receipt for the above application. The original filing receipt includes the following error:

The title of the invention is incorrect. The title should be:

ROLLING MILL DRIVE WITH A COUPLING AND **DECOUPLING** DEVICE

A copy of the original declaration showing the correct title of the invention is enclosed.

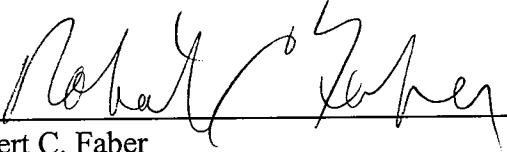
A copy of the filing receipt reflecting the error is enclosed.

It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

In the event payment of any fee is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

Respectfully submitted,

THIS CORRESPONDENCE IS BEING  
SUBMITTED ELECTRONICALLY  
THROUGH THE UNITED STATES  
PATENT AND TRADEMARK OFFICE  
EFS FILING SYSTEM  
ON AUGUST 29, 2007

A handwritten signature in black ink, appearing to read "Robert C. Faber", is written over a horizontal line.

Robert C. Faber

Registration No.: 24,322

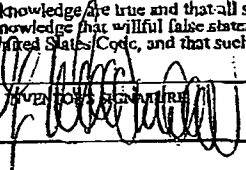

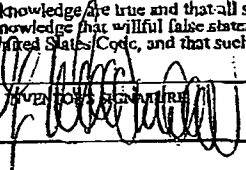

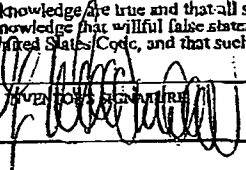

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

RCF:jl

UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION		OFGS FILE NO. P/3240-109																																															
<p>As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <p><b>ROLLING MILL DRIVE WITH A COUPLING AND DECOUPLING DEVICE</b></p>																																																	
<p>the specification of which is attached hereto, unless the following box is checked:</p> <p><input checked="" type="checkbox"/> was filed on <u>31 August 2004</u> as United States patent Application Number or PCT International patent application number <u>PCT/EP2004/009679</u> and was amended on _____ (if any).</p> <p>I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.</p> <p>I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.</p> <p>I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:</p> <p>Prior Foreign or Provisional Application(s)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">COUNTRY</th> <th style="width: 25%;">APPLICATION NUMBER</th> <th style="width: 25%;">DATE OF FILING (day, month, year)</th> <th style="width: 25%;">PRIORITY CLAIMED UNDER 35 U.S.C. 119</th> </tr> </thead> <tbody> <tr> <td>Austria</td> <td>A 1539/2003</td> <td>29 September 2003</td> <td>YES <input checked="" type="checkbox"/> NO <input type="checkbox"/></td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td>YES <input type="checkbox"/> NO <input type="checkbox"/></td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td>YES <input type="checkbox"/> NO <input type="checkbox"/></td> </tr> </tbody> </table> <p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">UNITED STATES APPLICATION NUMBER</th> <th style="width: 33%;">DATE OF FILING (day, month, year)</th> <th style="width: 34%;">STATUS (patented, pending, abandoned)</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> </tbody> </table> <p>I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB &amp; SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Robert C. Faber - Reg. No. 24,322; Max Moskowitz - Reg. No. 30,576; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625; and Douglas A. Miró - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent &amp; Trademark Office connected therewith and to receive all correspondence.</p> <p>SEND CORRESPONDENCE TO: <b>OSTROLENK, FABER, GERB &amp; SOFFEN, LLP</b> DIRECT TELEPHONE CALLS TO: <b>(212) 382-0700</b>  <b>1180 AVENUE OF THE AMERICAS</b>  <b>NEW YORK, NEW YORK 10036-8403</b>  <b>CUSTOMER NO. 2352</b></p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"> <b>FULL NAME OF SOLE OR FIRST INVENTOR</b>  <b>Johannes WEIERMAIR</b> </td> <td style="width: 20%;"> <b>INVENTOR'S SIGNATURE</b>   </td> <td style="width: 20%;"> <b>DATE</b>  <b>2006-04-05</b> </td> </tr> <tr> <td colspan="2"> <b>RESIDENCE (City and either State or Foreign Country)</b>  <b>Altmünster, Austria</b> </td> <td> <b>COUNTRY OF CITIZENSHIP</b>  <b>Austria</b> </td> </tr> <tr> <td colspan="3"> <b>POST OFFICE ADDRESS</b>  <b>Simetsstrasse 16, A-4813 Altmünster, Austria</b> </td> </tr> <tr> <td> <b>FULL NAME OF SECOND JOINT INVENTOR (if any)</b>  <b>Guenther MITTERMAYER</b> </td> <td> <b>INVENTOR'S SIGNATURE</b>   </td> <td> <b>DATE</b>  <b>2006-04-05</b> </td> </tr> <tr> <td colspan="2"> <b>RESIDENCE (City and either State or Foreign Country)</b>  <b>St. Florian, Austria</b> </td> <td> <b>COUNTRY OF CITIZENSHIP</b>  <b>Austria</b> </td> </tr> <tr> <td colspan="3"> <b>POST OFFICE ADDRESS</b>  <b>Am Bäckerberg 10, A-4490 St. Florian, Austria</b> </td> </tr> </table>				COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119	Austria	A 1539/2003	29 September 2003	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>				YES <input type="checkbox"/> NO <input type="checkbox"/>				YES <input type="checkbox"/> NO <input type="checkbox"/>	UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)										<b>FULL NAME OF SOLE OR FIRST INVENTOR</b> <b>Johannes WEIERMAIR</b>	<b>INVENTOR'S SIGNATURE</b> 	<b>DATE</b> <b>2006-04-05</b>	<b>RESIDENCE (City and either State or Foreign Country)</b> <b>Altmünster, Austria</b>		<b>COUNTRY OF CITIZENSHIP</b> <b>Austria</b>	<b>POST OFFICE ADDRESS</b> <b>Simetsstrasse 16, A-4813 Altmünster, Austria</b>			<b>FULL NAME OF SECOND JOINT INVENTOR (if any)</b> <b>Guenther MITTERMAYER</b>	<b>INVENTOR'S SIGNATURE</b> 	<b>DATE</b> <b>2006-04-05</b>	<b>RESIDENCE (City and either State or Foreign Country)</b> <b>St. Florian, Austria</b>		<b>COUNTRY OF CITIZENSHIP</b> <b>Austria</b>	<b>POST OFFICE ADDRESS</b> <b>Am Bäckerberg 10, A-4490 St. Florian, Austria</b>		
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119																																														
Austria	A 1539/2003	29 September 2003	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>																																														
			YES <input type="checkbox"/> NO <input type="checkbox"/>																																														
			YES <input type="checkbox"/> NO <input type="checkbox"/>																																														
UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)																																															
<b>FULL NAME OF SOLE OR FIRST INVENTOR</b> <b>Johannes WEIERMAIR</b>	<b>INVENTOR'S SIGNATURE</b> 	<b>DATE</b> <b>2006-04-05</b>																																															
<b>RESIDENCE (City and either State or Foreign Country)</b> <b>Altmünster, Austria</b>		<b>COUNTRY OF CITIZENSHIP</b> <b>Austria</b>																																															
<b>POST OFFICE ADDRESS</b> <b>Simetsstrasse 16, A-4813 Altmünster, Austria</b>																																																	
<b>FULL NAME OF SECOND JOINT INVENTOR (if any)</b> <b>Guenther MITTERMAYER</b>	<b>INVENTOR'S SIGNATURE</b> 	<b>DATE</b> <b>2006-04-05</b>																																															
<b>RESIDENCE (City and either State or Foreign Country)</b> <b>St. Florian, Austria</b>		<b>COUNTRY OF CITIZENSHIP</b> <b>Austria</b>																																															
<b>POST OFFICE ADDRESS</b> <b>Am Bäckerberg 10, A-4490 St. Florian, Austria</b>																																																	



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/573,605	04/26/2006	3676	1030	P/3240-109	14	1

2352

OSTROLENK FABER GERB & SOFFEN  
 1180 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100368403

OFCS FILE NO. <i>73240-101</i>
PATENTS OFFICE <i>pm</i>

CONFIRMATION NO. 9796

## FILING RECEIPT



\*OC000000025457271\*

Date Mailed: 08/20/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Johannes Weiermair, Altmunster, AUSTRIA;  
 Guenter Mittermayr, St. Florian, AUSTRIA;

**Power of Attorney:** The patent practitioners associated with Customer Number **00002352**.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/09679 08/31/2004

## Foreign Applications

AUSTRIA A 1539/2003 09/29/2003

**If Required, Foreign Filing License Granted:** 08/18/2007

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is** **US10/573,605**

**Projected Publication Date:** 11/29/2007

**Non-Publication Request:** No

**Early Publication Request:** No

AUG 24 2007

**Title**

Decoupling  
Rolling Mill Drive with a Coupling and ~~D-Ecoupling~~ Device

**Preliminary Class**

292

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).